

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

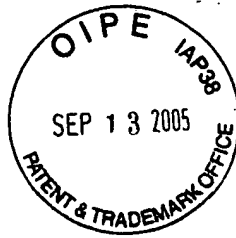
Inf

In re Patent Application of

NAKAYAMA

Serial No. 10687,620

Filed: October 20, 2003



Atty. Ref.: 914-170

Group: 2814

Examiner: Pham, L.

For: SEMICONDUCTOR DEVICES AND METHODS OF
MANUFACTURE THEREOF

* * * * *

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

- ☐ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action] N/C
- ☒ 2. **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] N/C
- ☐ 3. **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] \$180.00
- ☐ 4. **Pursuant to 37 CFR 1.97(d)**
[before Issue Fee payment] \$180.00

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:


- ☒ 5. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.
 - ☒ a) This application was filed after June 30, 2003 so that copies of U.S. Patent Publications are not required and are not attached.
 - ☒ b) U.S. Patent 6,528,397 corresponds to the KR 2001-33202 reference and U.S. Patent 6,569,716 corresponds to the KR 1998-71575 reference.

This paper is submitted in accordance with:

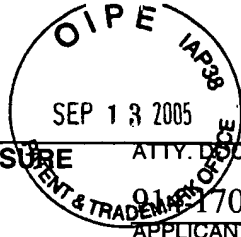
- ☐ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☒ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 9 below.
- ☒ 9. Statement under 37 CFR 1.97(e)
- ☒ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a Korean Office Action mailed June 29, 2005 from the Korean Patent Office in the counterpart Korean application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,
NIXON & VANDERHYE P.C.

September 13, 2005

By: 
H. Warren Burnam, Jr.
Reg. No. 29,366

HWB:lsh
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100


**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

91-170

10687,620

APPLICANT

NAKAYAMA

(Use several sheets if necessary)

FILING DATE

GROUP

October 20, 2003

2814

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,528,397	3-2003	Taketoni et al.			
	6,569,716	5-2003	Suzuki			

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
2001-33202	4-2001	KR			X
1998-71575	10-1998	KR			X
12-182956 A	6-2000	JP			partial

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

	Korean Office Action and English translation thereof mailed June 29, 2005 in corresponding Korean application no. 10-2003-75856

*Examiner	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)